

CSE291-C00
Surfaces

Instructor: Hao Su

1. Definition: $\nabla_X f = Xf$

$$Xf = \left(\sum_{i=1}^2 X^i \frac{\partial}{\partial u_i} \right) f = \sum_{i=1}^2 X^i \frac{\partial f}{\partial u_i}$$
2. Definition: $\nabla_X \vec{y} = \nabla_X (y_1, y_2, y_3) = (\nabla_X y_1, \nabla_X y_2, \nabla_X y_3) = (Xf_1, Xf_2, Xf_3)$
3. Definition: $dY(X) = \nabla_X \vec{y}$
 Weingarten map: $dN(X) = \nabla_X \vec{n}$
4. Leibniz rule: $\nabla_X \langle \vec{y}, \vec{z} \rangle = \langle \nabla_X \vec{y}, \vec{z} \rangle + \langle \vec{y}, \nabla_X \vec{z} \rangle$
5. $\nabla_X \vec{y} = \nabla_Y \vec{x}$ for $\vec{x} = d\phi(X)$ and $\vec{y} = d\phi(Y)$

Proof:

$$\nabla_X \vec{y} = \nabla_X d\phi(Y) = \sum X^i \frac{\partial}{\partial u^i} \left(\sum \frac{\partial \phi}{\partial u^j} du^j \sum Y^k \frac{\partial}{\partial u^k} \right) = \sum_{i,j} X^i Y^j \frac{\partial^2 \phi}{\partial u^i \partial u^j}$$

6. Second fundamental form: $\text{II}(X, Y) = \langle dN(X), d\phi(Y) \rangle = \langle \nabla_X \vec{n}, \vec{y} \rangle$

Note that $\nabla_X \langle \vec{n}, \vec{y} \rangle = \langle \nabla_X \vec{n}, \vec{y} \rangle + \langle \vec{n}, \nabla_X \vec{y} \rangle$ and $\langle \vec{n}, \vec{y} \rangle = 0$

so $\langle \nabla_X \vec{n}, \vec{y} \rangle = -\langle \vec{n}, \nabla_X \vec{y} \rangle = -\langle \vec{n}, \nabla_Y \vec{x} \rangle = \langle \nabla_Y \vec{n}, \vec{x} \rangle$

$\text{II}(X, Y) = \text{II}(Y, X)$, i.e., the second fundamental form is a symmetric bilinear form

Theorema Egregium

- The Gaussian curvature of a surface can be expressed in terms of the first fundamental form, i.e., it is *intrinsic*

Proof

$$g_{ij,k} = \frac{\partial}{\partial u^k} g_{ij} = \frac{\partial}{\partial u^k} \langle \mathbf{x}_i, \mathbf{x}_j \rangle$$

$$\Gamma_{ij}^\ell = \frac{1}{2} g^{k\ell} (g_{ik,j} + g_{jk,i} - g_{ij,k}) \quad \text{Christoffel symbols}$$

$$\mathbf{x}_{ij} = \Gamma_{ij}^\ell \mathbf{x}_\ell + L_{ij} n, \quad (\text{Gauss Formulas})$$

$$\underbrace{\Gamma_{ik,j}^\ell - \Gamma_{ij,k}^\ell + \Gamma_{ik}^m \Gamma_{mj}^\ell - \Gamma_{ij}^m \Gamma_{mk}^\ell}_{R_{ijk}^\ell} = L_{ik} L_j^\ell - L_{ij} L_k^\ell$$

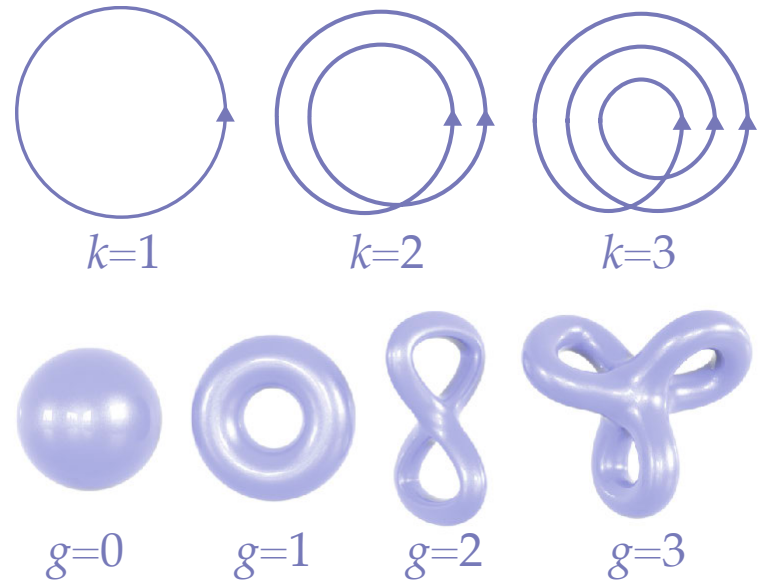
$$R_{ijk}^\ell = L_{ik} L_j^\ell - L_{ij} L_k^\ell \quad \text{The Gauss Equations}$$



Riemann curvature tensor.

$$K = \frac{g_{2\ell} R_{121}^\ell}{g}$$

- Recall that the total curvature of a closed plane curve was always equal to 2π times *turning number* k
- **Q:** Can we make an analogous statement about surfaces?
- **A:** Yes! Gauss-Bonnet theorem says total Gaussian curvature is always 2π times *Euler characteristic* χ
- Euler characteristic can be expressed in terms of the *genus* (number of “handles”)



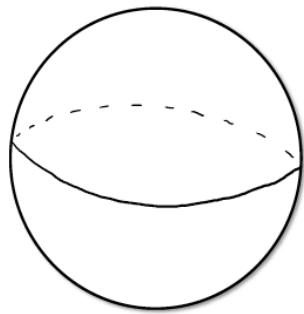
<u>Curves</u>	<u>Surfaces</u>
$\int_0^L \kappa ds = 2\pi k$	$\int_M K dA = 2\pi \chi$

Topology of Surfaces

- We will say two surfaces M and N are *topologically equivalent* or are of the same topological type if

M and N are diffeomorphic

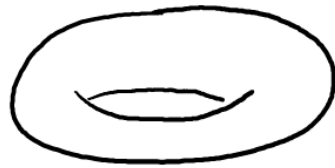
- We normally write $M \approx N$ to denote this



Sphere



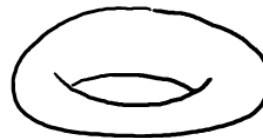
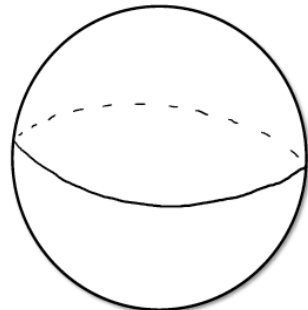
Surface of
Potato



torus = surface
of
doughnut



coffee
cup

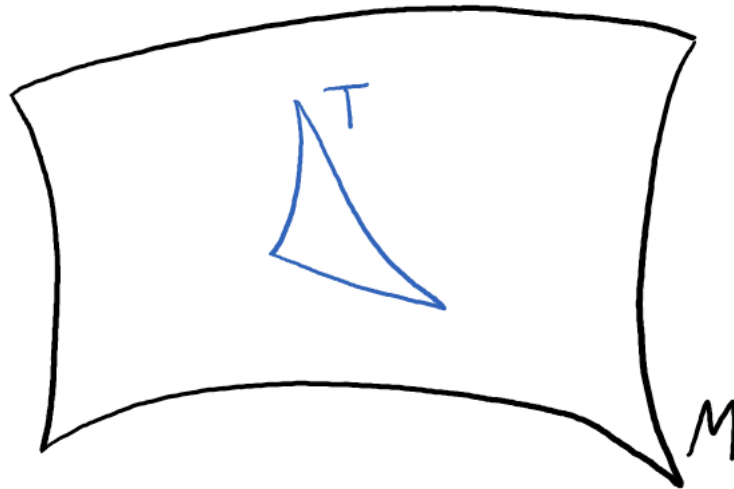


Topology by a Single Number

- It's an astonishing fact that to determine whether two surfaces are topologically equivalent (diffeomorphic) comes down to computing exactly one number of that surface.
- That number is called the **Euler characteristic**.

Triangulation

- A *triangle* T in M is a simple region in M bounded by 3 smooth curve segments.

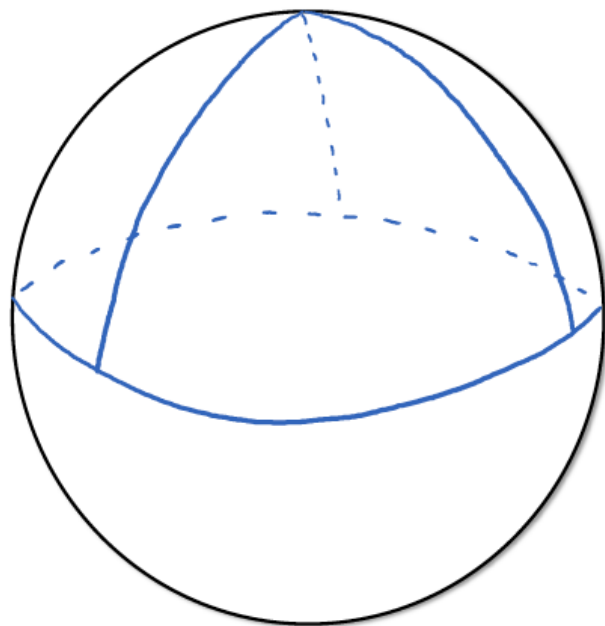


- Here 'simple' means that T is topologically a disk

Triangulation

- A *triangulation* of M is a decomposition of M into a finite number of triangles T_1, T_2, \dots, T_n such that
 - (1) $\bigcup_{i=1}^n T_i = M$
 - (2) If $T_i \cap T_j \neq \emptyset$, then $T_i \cap T_j$ is either a common edge or a vertex.
- It's a fact (we will not prove) that every compact surface can be triangulated.

Example. The figure below shows a triangulation of the sphere. Note that the edges of the triangles are great circles and hence geodesics. The triangulation has the same topology type as a tetrahedron. The number of faces is $F = 4$, the number of edges is $E = 6$, and the number of vertices is $V = 4$.



Tetrahedron

Euler Characteristic

Definition. Let M be a compact surface and consider any triangulation of M . Then the *Euler characteristic* of M is

$$\chi(M) = V - E + F$$

where

V = number of vertices


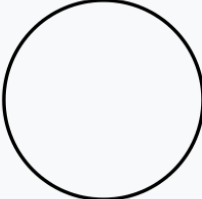

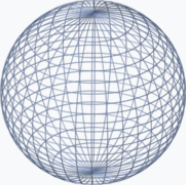
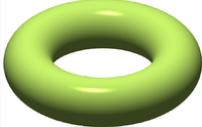

E = number of edges

F = number of faces

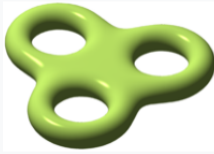
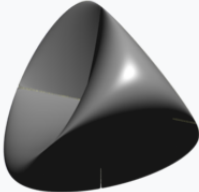
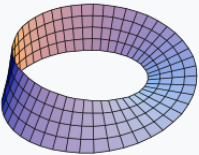
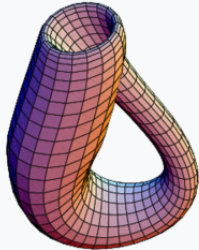
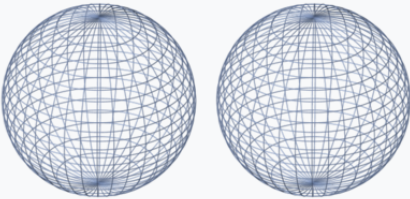
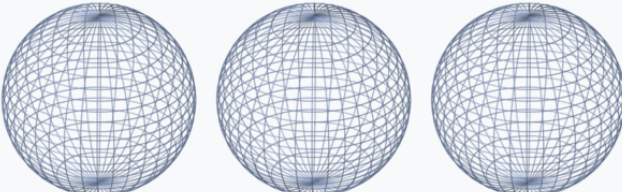
The following fact (we will not prove) justifies the definition of $\chi(M)$.

Theorem 6.9. *The Euler characteristic $\chi(M)$ does not depend on the particular triangulation of M .*

Examples

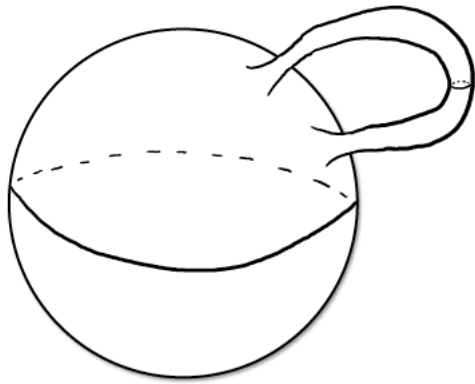
Name	Image	Euler characteristic
Interval		1
Circle		0
Disk		1
Sphere		2
Torus (Product of two circles)		0
Double torus		-2

Examples

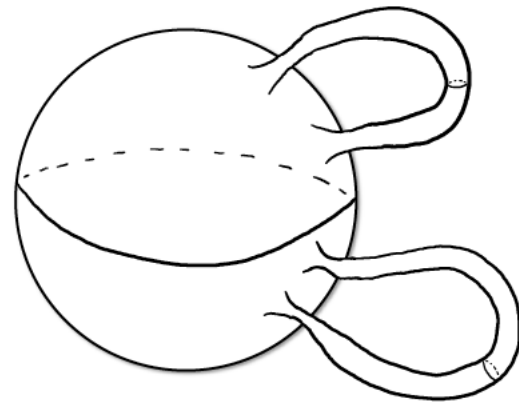
Triple torus		-4
Real projective plane		1
Möbius strip		0
Klein bottle		0
Two spheres (not connected) (Disjoint union of two spheres)		$2 + 2 = 4$
Three spheres (not connected) (Disjoint union of three spheres)		$2 + 2 + 2 = 6$

Genus

- There is an easy way to construct surfaces with different topology. The idea is to `glue' handles onto a sphere.



Sphere with one handle attached = genus one surface



Sphere with two handles attached = genus two surface

Definition. When we construct a surface M in this way with g handles, then we say M is a *surface of genus g* .

Genus and Euler characteristics

Proposition 6.11. *If M is a surface of genus g , then $\chi(M) = 2(1 - g)$.*

The proof follows from a formula involving the *connected sum* of two surfaces: $\chi(M_1 \# M_2) = \chi(M_1) + \chi(M_2) - 2$.

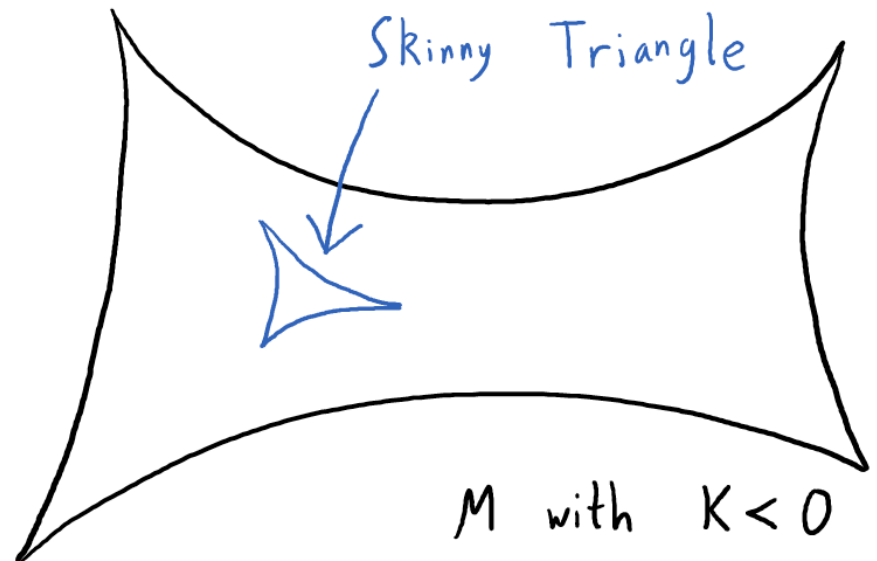
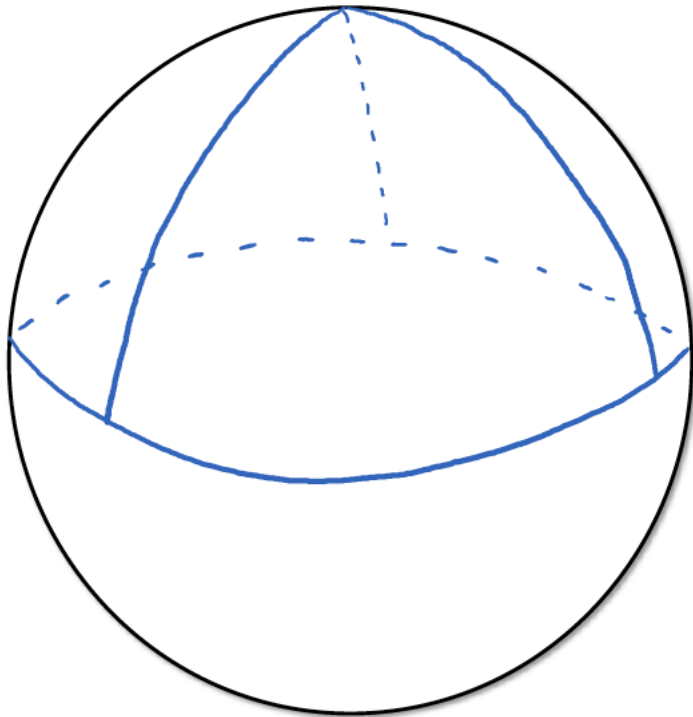
A more general relationship in high-dimensional space:

$$\chi(M \# N) = \chi(M) + \chi(N) - \chi(S^n)$$

Main Tool: Angle Excess Theorem

Theorem 6.15 (Angle Excess Theorem). *Let T be a geodesic triangle with angles A , B , and C . Then*

$$A + B + C = \pi + \iint_T K dS.$$



Proof

First, triangulate the surface to n triangles, then

$$\iint_M K dS = \sum_{i=1}^n \iint_{T_i} K dS = \sum_{i=1}^n (A_i + B_i + C_i - \pi) = \sum_{i=1}^n (A_i + B_i + C_i) - n\pi.$$

Proof

First, triangulate the surface to n triangles, then

$$\iint_M K dS = \sum_{i=1}^n \iint_{T_i} K dS = \sum_{i=1}^n (A_i + B_i + C_i - \pi) = \sum_{i=1}^n (A_i + B_i + C_i) - n\pi.$$

So

$$\iint_M K dS = 2\pi V - n\pi = 2\pi V - \pi F$$

Proof

First, triangulate the surface to n triangles, then

$$\iint_M K dS = \sum_{i=1}^n \iint_{T_i} K dS = \sum_{i=1}^n (A_i + B_i + C_i - \pi) = \sum_{i=1}^n (A_i + B_i + C_i) - n\pi.$$

So

$$\iint_M K dS = 2\pi V - n\pi = 2\pi V - \pi F$$

$$\text{but } E = \frac{3}{2}F$$

Proof

First, triangulate the surface to n triangles, then

$$\iint_M K dS = \sum_{i=1}^n \iint_{T_i} K dS = \sum_{i=1}^n (A_i + B_i + C_i - \pi) = \sum_{i=1}^n (A_i + B_i + C_i) - n\pi.$$

So

$$\iint_M K dS = 2\pi V - n\pi = 2\pi V - \pi F$$

$$\text{but } E = \frac{3}{2}F$$

So

$$\iint_M K dS = 2\pi V - \pi F = 2\pi \left(V + F - \frac{3}{2}F \right) = 2\pi(V + F - E) = 2\pi\chi(M).$$